

05-02-01

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

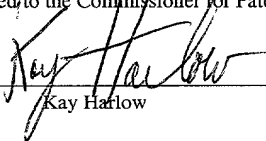
CERTIFICATE OF EXPRESS MAILING

1046 U.S. PTO
04/30/01

certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on April 30, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL815443885US**, addressed to the Commissioner for Patents, Washington, DC 20231.

Attorney Docket No. SUNMP007

First Named Inventor: Rahul Sharma


Kay Harlow

1046 U.S. PTO
09/846067
04/30/01

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

Commissioner for Patents
Box Patent Application
Washington, DC 20231

☐ Duplicate for
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:
Rahul Sharma, Vladimir Matena, Masood Mortazavi, and Sanjeev Krishnan

For: **METHOD AND APPARATUS FOR UPGRADING MANAGED APPLICATION STATE FOR A JAVA BASED APPLICATION**

Application Elements:

- ☒ 35 Pages of Specification, Claims and Abstract
☒ 09 Sheets of Drawings (Informal)
☒ 02 Pages Declaration

Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
☐ 37 CFR 3.73(b) Statement by Assignee
☐ Information Disclosure Statement with Form PTO-1449
☐ Copies of IDS Citations
☐ Preliminary Amendment
☒ Return Receipt Postcard
☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
☐ Other:

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	<u>SMALL ENTITY</u>		<u>OR</u>	<u>LARGE ENTITY</u>	
	<u>NO. FILED</u>	<u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>		<u>RATE</u>	<u>FEE</u>
BASIC FEE			\$355	\$	OR	\$710	\$710.00
TOTAL CLAIMS	<u>20</u>	-20 = <u>00</u>	x09=	\$	OR	x18 =	\$
INDEP CLAIMS	<u>03</u>	-03 = <u>00</u>	x40 =	\$	OR	x80 =	\$
[] Multiple Dependent Claim Presented			\$135 =	\$	OR	\$270 =	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	<u>\$710.00</u>

☒ Check No. 5199 in the amount of \$750.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. SUNMP007).

11046 U.S. PTO
09/846067
04/30/01

Request for Small Entity Status

☐ Applicant is entitled to small entity status.


General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. SUNMP007).

☒ Please send correspondence to the following address:

Joe A. Brock, II, Esq.
MARTINE & PENILLA, LLP
710 Lakeway Drive, Suite 170
Sunnyvale, CA 94085
Customer Number 25920
Tel (408) 749-6900
Fax (408) 749-6901

Date: April 30, 2001



Joe A. Brock, II, Esq.
Registration No. 46,021

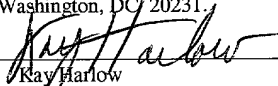
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of)
)
Sharma et al.) Examiner: Unassigned
)
Application No. [To be assigned]) Art Unit: Unassigned
)
Filed: April 30, 2001) Docket No. SUNMP007
)
For: METHOD AND APPARATUS FOR UPGRADING) Date: April 30, 2001
MANAGED APPLICATION STATE FOR A JAVA BASED)
APPLICATION)
)

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on April 30, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number **EL815443885US**, addressed to the Commissioner for Patents, Washington, DC 20231.

Signed: _____


Ray Harlow


REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,
MARTINE & PENILLA, LLP


Joe A. Brock, II, Esq.
Reg. No. 46,021

710 Lakeway Drive, Suite 170
Sunnyvale, CA 94085
(408) 749-6900
Customer Number 25920